

SECURITIES AND EXCHANGE
COMMISSION,

V.S.

Defendant.

This matter is before the Court upon its own motion. The Plaintiff seeks disgorgement and a civil penalty in this case. The Court will conduct a hearing as to Plaintiff's Motion to Set Disgorgement and a Civil Penalty on Monday, September 19, at 2:00 p.m. in Courtroom 2-2. This case will be removed from the October trial calendar.

Signed: August 24, 2016

Graham C. Thullen

